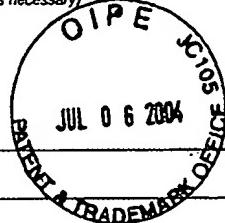


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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	09/668,610
Filing Date	September 22, 2000
First Named Inventor	Ellison, Carl
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 2

Attorney Docket No: 42P08104X

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
KA ✓	EP-EP0892521	01/20/1999	Zamek, Steven			
KA ✓	EP-EP1055989	11/29/2000	Proudler, Graeme J., et al.			
KA ✓	EP-EP1056014	11/29/2000	Proudler, Graeme J., et al.			
KA ✓	WO-WO0201794	01/03/2002	Ellison, Carl			JUL 08 2004
KA ✓	WO-WO02060121	08/01/2002	Grawrock, David W.			
KA ✓	WO-WO03058412	07/17/2003	Glew, Andrew , et al.			Technology Center 2100
KA ✓	WO-WO9812620	03/26/1998	Nishiuchi, Taiki , et al.			
KA ✓	WO-WO9918511	04/15/1999	Edrich, David R.			

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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
KA	✓	COULOURIS, GEORGE , et al., "Distributed Systems, Concepts and Designs", 2nd Edition, (1994),422-424
KA	✓	CRAWFORD, JOHN , "Architecture of the Intel 80386", Proceedings of the IEEE International Conference on Computer Design: VLSI in Computers and Processors (ICCD '86), (October 6, 1986),155-160
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KA	✓	INTEL CORPORATION, "IA-64 System Abstraction Layer Specification", Intel Product Specification, Order Number 245359-001, (01/2000),1-112
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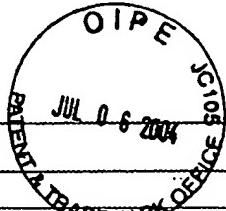
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Substitute Disclosure Statement Form (PTO-1449)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		<i>Complete if Known</i>	
		Application Number	09/668,610
		Filing Date	September 22, 2000
		First Named Inventor	Ellison, Carl
		Group Art Unit	Unknown
		Examiner Name	Unknown
Sheet 2 of 2		Attorney Docket No: 42P08104X	

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Complete if Known	
Application Number	09/668610
Filing Date	September 22, 2000
First Named Inventor	Ellison, Carl
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 42P08104X

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Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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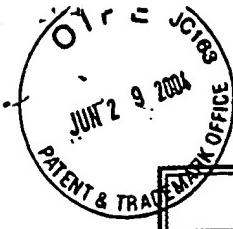
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

EXAMINER Mark Abrialson

DATE CONSIDERED 2/02/01

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 806. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1. Applicant's unique citation designation number (optional) 2. Applicant is to place a check mark here if English language Translation is attached



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	Platform and Method for Generating and Utilizing a Protected Audit Log						
Application Number:	09/668610 						
Confirmation Number:	2283						
First Named Applicant:	Carl Ellison						
Attorney Docket Number:	42P08104X						
Art Unit:	Unknown						
Examiner:	Unknown Unknown						
Search string:	(3699532 or 4207609 or 4319233 or 4403283 or 4419724 or 5237616 or 5287363 or 5560013 or 5604805 or 5633929 or 5668971 or 5684948 or 5706469 or 5740178 or 5752046 or 5809546 or 5825880 or 5919257 or 5935242 or 5935247 or 6035374 or 6093213 or 6108644 or 6131166 or 6199152 or 6397379 or 6529909 or 6560627 or 6609199 or 6651171 or 6684326).pn.						
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Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
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Examiner Name	Date
Paul A. ...	2/02/06



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	Platform and Method for Generating and Utilizing a Protected Audit Log						
Application Number:	09/668610						
Confirmation Number:	2283						
First Named Applicant:	Carl Ellison						
Attorney Docket Number:	42P08104X						
Art Unit:	Unknown						
Examiner:	Unknown Unknown						
Search string:	(3996449 or 4162536 or 4276594 or 4307447 or 4430709 or 4802084 or 4975836 or 5187802 or 5230069 or 5295251 or 5319760 or 5361375 or 5469557 or 5506975 or 5555385 or 5555414 or 5564040 or 5574936 or 5582717 or 5956408 or 5978475 or 6044478 or 6088262 or 6173417 or 6175924 or 6188257 or 6275933 or 6282650 or 6378068).pn.						
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US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
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KA	2	4162536	1979-07-24	Morley, Richard E.			
KA	3	4276594	1981-06-30	Morley, Richard E.			
KA	4	4307447	1981-12-22	Provanzano, Salvatore R., et al.			
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KA	6	4802084	1989-01-31	Ikegaya, Hiroshi , et al.			
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KA	15	5555385	1996-09-10	Osisek, Damian L.
KA	16	5555414	1996-09-10	Hough, Roger E., et al.
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KA	18	5574936	1996-11-12	Ryba, Edward G., et al.
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KA	20	5956408	1999-09-21	Arnold, Todd W.
KA	21	5978475	1999-11-02	Schneier, Bruce , et al.
KA	22	6044478	2000-03-28	Green, Daniel W.
KA	23	6088262	2000-07-11	Nasu, Hiroaki
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PAT	29	6378068	2002-04-23	Foster, Mark J.

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Examiner Name	Date
<i>Darby Abundante</i>	2/02/06



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Substitute for Form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/668,610
				Filing Date	September 22, 2000
				First Named Inventor	Carl M. Ellison
				Group Art Unit	2131
				Examiner Name	Unassigned
<i>(Use as many sheets as necessary.)</i>					
Sheet	1	of	2	Attorney Docket Number	042390.P8104X

U.S. PATENT DOCUMENTS

Exam Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
K.A.	4,278,837	07/14/81	Best			
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K.A.	5,022,077	06/04/91	Bealkowski et al.			
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1 2001

FOREIGN PATENT DOCUMENTS

Examiner Signature	Beth Winkler	Date Considered	4/13/04
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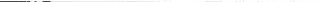
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		Filing Date	September 22, 2000
		First Named Inventor	Carl M. Ellison
		Group Art Unit	2131
		Examiner Name	Unassigned
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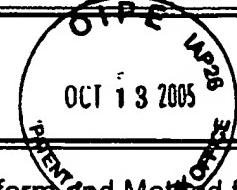
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Electronic Version 1.1
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Title of Invention	Platform and Method for Generating and Utilizing a Protected Audit Log
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Submission Type: Information Disclosure Statement
 Application Number: 09/668610 *09/668610*
 EFS ID: 52396

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	2283
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant: Carl Ellison

Attorney Docket Number: 42P08104X

Timestamp: 2003-12-16 17:08:41 EDT

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Application Number:	09/668610		*09/668610*
Confirmation Number:	2283		
First Named Applicant:	Carl Ellison		
Attorney Docket Number:	42P08104X		
Art Unit:	Unknown		
Examiner:	Unknown Unknown		
Search string:	(4319323 or 4759064 or 4795893 or 5007082 or 5293424 or 5317705 or 5459867 or 5504922 or 5606617 or 5901225 or 5970147 or 6061794 or 6092095 or 6115816 or 6212635 or 6222923 or 6252650 or 6269392 or 6330670 or 6357004 or 6363485 or 6374317 or 6378072 or 6389537 or 6412035 or 6421702 or 6445797 or 6463535 or 6463537 or 6507904 or 6535988 or 6557104 or 6633963 or 6633981).pn.		

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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KA	3	4795893	1989-01-03	Ugon, Michael			
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Information Disclosure Statement

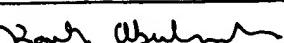
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Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to receipt of a first office action. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

Examiner Name	Date
	01/24/06